



Annual Statement of Financial Interests (ASFI)

The primary use of the information on this form is to assist you in determining compliance with applicable Federal conflict of interest laws and regulations. Information will be held in confidence in accordance with the Privacy Act of 1976, will only be used for official purposes and will not be disclosed to any requesting person unless authorized by law.

PENALTIES Falsification of information or failure to file or report information required to be reported may subject you to disciplinary action by LPS or other authority. Knowing and willful falsification of information required to be reported may also subject you to criminal prosecution.

Report the required information for the preceding calendar year (January 1 – December 31). The report is due no later than your first day of work.

Employee's Name (Print last, first, middle initial)	E-mail Address:	
Position/Title:	Work Location:	
Start Date:	Work Phone:	
Reporting Status : New Entry Annual	Address Work Location:	
I. I have reportable outside positions for myself	YES	NO
II. I have reportable assets or sources of income for myself, my spouse or my dependent children. See Part II for definition of reportable assets and dependent children.	YES	NO

If you have circled "YES" for any of the statements above, you must provide the details in the corresponding sections following this statement. If you circled "NO" to each statement, simply sign below.

I certify that the statements I have made on this form and all attached statements are true, complete and correct to the best of my knowledge.

Signature

Date

Part I: Outside Positions

Report for Yourself:	Do Not Report:
<ul style="list-style-type: none"> • All positions outside the LPS Assignment mentioned above, held at any time during the reporting period, whether or not you were compensated and whether or not you currently hold that position. Positions include an officer, director, employee, trustee, general partner, proprietor, representative, executor, or consultant of any of the following: -Corporation, partnership, trust, or other business entity -Non-profit or volunteer organization - Educational institution 	<ul style="list-style-type: none"> • Any position with a - Religious entity - Social entity - Fraternal entity- Political entity • Any position held by your spouse or dependent child • Any position that you hold as part of your official duties

Reportable Organization (include city and state where organization is located)	Type of organization	Position	No longer held (check)

Part II: Assets and Income

Report yourself, your spouse or your dependent child [ren]	DO NOT REPORT:
<p>Assets held for investment with the value greater than \$15,000 at the end of the reporting period including but not limited to:</p> <ul style="list-style-type: none"> • Stocks • Bonds (non-US Government, state or municipal instruments) • Partnership interests • A privately-held trade or business • Any sector mutual fund or bond fund in excess of \$50,000 in holdings • Defined benefit pension plan or retirement plan from a former employer (please provide name of former employer) <p>Also report for yourself and your spouse: All sources, outside your compensation for this assignment, of salary, fees honoraria, and commissions.</p>	<p>Federal Government retirement benefits, Government backed security or bonds, veterans' benefits, certificates of deposit, savings or checking accounts, term life insurance or diversified mutual fund (a mutual fund that does not have a stated policy of concentrating its investments in one industry, business or single country other than the United States as apposed to a sector fund which is one that concentrates its investments in an industry business or single counter other than the United States.)</p>

Specific stock, bond, sector mutual fund, type/location of real estate, etc. (Indicate the full name of each specific asset or investment. You may add the ticker symbol to the full name.) Name of Employer or Business; Source of Fees, Commissions, or Honoraria (Include brief description.) You may distinguish any entry for a family member by preceding it with S for spouse, DC for dependent child, or J for jointly held.	No longer held (check)
1.	
2.	
3.	
4.	
5.	
6.	
7.	
8.	
9.	
10.	
11.	

Signature

Date